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PATENT

Attorney Docket No.: ECD-0014 12-02-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEApplicant(s): James A. Merkle, Jr. *et al.*

Examiner: Not yet assigned

Serial No.: 10/062,400

Group Art Unit: 2131

Filing Date: February 1, 2002

Title: SYSTEMS AND METHODS FOR MEDIA AUTHENTICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner For Patents, Washington, DC 20231.

11-22-02

Date

Vanessa Marakas

Vanessa Marakas

Assistant Commissioner for Patents
Washington, DC 20231

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Technology Center 2100

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

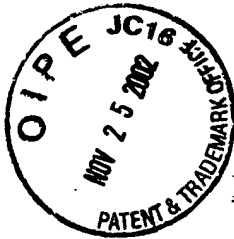
1. Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AA-PA; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: November 22, 2002
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
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Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



PATENT
Attorney Docket No: ECD-0014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James A. Merkle, Jr. *et al.* Examiner: Not yet assigned
Serial No.: 10/062,400 Group Art Unit: 2131
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Date

Vanessa Marakas

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Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 C.F.R. 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 C.F.R. 1.97(b), or
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 C.F.R. 1.97(c) together with either:
- ☐ a Certification under 37 C.F.R. 1.97(e), as checked below, or
- ☐ a \$240.00 fee under 37 C.F.R. 1.17(p), or
(After the 37 C.F.R. 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 C.F.R. 1.97(d) together with:
- ☐ a Certification under 37 C.F.R. 1.97(e), as checked below, and
- ☐ a petition under 37 C.F.R. 1.97(d) (2), and
- ☐ a \$130.00 petition fee set forth in 37 C.F.R. 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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Technology Center 2100

Applicant(s): James A. Merkle, Jr. *et al.*
Serial No.: 10/062,400

Certification Under 37 C.F.R. 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 C.F.R. 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 C.F.R. 1.98(a)(3) for reference(s) ___ is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

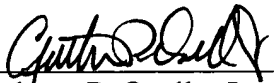
Applicant(s): James A. Merkle, Jr. *et al.*
Serial No.: 10/062,400

Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: November 22, 2002
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